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**UTILITY
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Attorney Docket No. _____
First Inventor or Application Identifier SRIRAM SANKAR
Title MIXED MODE EXECUTION FOR OBJECT-ORIENTED
Express Mail Label No. EK 0408 50592 US

PROGRAMMING
LANGUAGES

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

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 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
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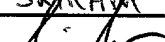
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Country	USA	Telephone	510-796-0915	Fax	510-796-0916

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Application or Patent No.: PROVISIONAL 60/098 315

Filed or Issued: PROVISIONAL FILED ON 8/28/98; FINAL FILED ON 8/27/99

Title: MIXED-MODE EXECUTION FOR OBJECT-ORIENTED PROGRAMMING LANGUAGE

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NAME OF PERSON SIGNING SRIRAM SANKAR

TITLE OF PERSON IF OTHER THAN OWNER PRESIDENT

ADDRESS OF PERSON SIGNING 34367 TUPELO STREET, FREMONT CA 94536

SIGNATURE [Signature] DATE 8/27/99

UNITED STATES PATENT APPLICATION

FOR

MIXED-MODE EXECUTION FOR OBJECT-ORIENTED
PROGRAMMING LANGUAGES

Inventors:

Sriram Sankar
Sreenivasa Viswanadha
Darius Bacon
Jose Solorzano
Rob Duncan

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Anagha Raje. 8/27/99
Anagha Raje Date

BACKGROUND OF THE INVENTION

(1) FIELD OF THE INVENTION

The present invention is related to the field of object –oriented programming languages, more specifically, the present invention is related to mixed-mode execution for object-oriented programming languages in ways such that a higher-level mode of execution and a lower-level mode of execution can be used together.

(2) BACKGROUND

Traditionally, to execute programs using an interpreted object-oriented programming language such as Java or SmallTalk, the programmer writes source code. The source code is then processed by a compiler to produce byte code. Byte code is a low-level representation of the program optimized for efficient interpretation. The program is then interpreted by feeding the byte code to a virtual machine that understands the instructions within the byte code and executes them accordingly.

An alternate strategy to having a virtual machine execute the byte code instructions is to have a source code interpreter that directly operates on the source code. There are several advantages of using this strategy. For one, the source code interpreter has access to the program at its highest level of abstraction (as it was written by the programmer) and therefore it can extract the maximum possible information about the program and its execution. Further, the source code interpreter can execute partially written source code which in some cases, may not be translated into byte code by a compiler.

During the development of programs, it is important for the programmer to have access to various different kinds of information to facilitate maintaining and improving their programs. At the same time, it is important for the programmer to have the program run as quickly as possible.

- 5 It is therefore desirable, especially in environments such as Java, where the byte code and virtual machines are highly standardized, to use an alternate source code interpreter that provides more flexibility to implement features that are missing from the standardized byte code/virtual machines. Further, it is desirable to find ways in which a higher-level mode of execution such as a source code interpreter and a lower-level mode
- 10 of execution such as a virtual machine executing byte code can be used together, such that the higher-level mode of execution executes source code for specific portions of the program whenever detailed information is desired, while the lower-level mode of execution is used at all other times.

BRIEF SUMMARY OF THE INVENTION

A method and an apparatus for using mixed-mode execution for object-oriented programming languages are disclosed. More specifically, the presently preferred embodiment of the present invention discusses ways in which a source code interpreter
5 and a virtual machine executing byte code can be used together.

A source code interpreter that directly operates on the source code has access to the program at its highest level of abstraction and therefore can extract the maximum possible information about the program and its execution. The source code interpreter is also able to execute partially written source code, which may not be translated into byte
10 code by a compiler. On the other hand, the virtual machine executing byte code runs faster than the source code interpreter.

In a presently preferred embodiment of the present invention, the source code interpreter executes source code for specific portions of the program whenever detailed information is desired, while the virtual machine executes byte code at all other times.
15 Furthermore, in an infrastructure such as Java where the virtual machines are standardized and already available commonplace, the present invention describes how one can reuse many of the difficult to implement capabilities of the virtual machine during source code interpretation. Such reuse greatly simplifies the design and implementation of the source code interpreter itself. In the case of Java, important
20 components of the virtual machine that can be reused include memory management (including garbage collection), thread scheduling, and thread synchronization.

Examples of information useful during development and that can be provided by this proposed infrastructure include debugging information (e.g. values of variables, information about method calling sequences, execution tracing), profiling information (e.g. time spent within a particular method, the amount of memory used, the number of objects allocated), coverage information that indicates how much of the program was executed and how comprehensively the program has been tested, and tracing information that can be used for things such as automatically replaying a previous execution of the program.

In a presently preferred embodiment of the present invention, four components interact with each other. The components include an independent source code instruction processor (SCIP), and three components of the virtual machine – the byte code instruction processor (BCIP), memory (M) and other modules (OM). The BCIP executes individual byte-code instructions that can cause changes to the M and/or cause the OM such as the thread scheduler or garbage collector to perform tasks. M is where all the state information of the virtual machine and the user program is stored. M is accessed/updated by the BCIP as well as the OM. The SCIP executes each individual source code statement directly. The SCIP uses the M in the virtual machine and the execution of the source code instructions can also cause changes to M.

In mixed-mode execution, the BCIP may from time to time decide to pass control to the SCIP (for example, when it realizes that profiling information needs to be gathered for a particular portion of code). Similarly, when the SCIP is executing, it may decide to transfer control back to the BCIP (for example, code that was being profiled has been executed and now execution can proceed at full speed).

The interaction between the SCIP and the virtual machine can therefore be categorized in three primary ways. One is access/update of the M in the virtual machine by the SCIP. Second is transferring control from the SCIP to the virtual machine. Third is transferring control from the virtual machine to the SCIP. The present invention discloses
5 schemes to handle each of these interactions.

In general, a mechanism is described whereby new classes can be added to a running system allowing interactions between existing code and the newly added code in both directions. Also, part or all of the SCIP is written in the same language being interpreted – therefore this part of the SCIP is actually executed by the virtual machine.

10 While the presently preferred embodiment of the present invention considers mixed-mode execution between a source code interpreter and a virtual machine, the innovations described extend to any situation involving mixed-mode execution of object-oriented programs where the higher-level mode of execution (e.g. the SCIP) has some components that are being executed by the lower-level mode of execution (e.g. the virtual
15 machine), and where it is possible to add new classes to a running program at the lower-level mode of execution (e.g. in all interpreted environments). Further, while certain exemplary embodiments have been described in detail and shown in the accompanying drawings, it is to be understood that such embodiments are merely illustrative of and not restrictive on the broad invention, and that this invention is not to be limited to the
20 specific examples and constructions shown and described, since various other modifications may occur to those with ordinary skill in the art.

BRIEF DESCRIPTION OF DRAWINGS

Figure 1 illustrates the traditional execution by a virtual machine.

Figure 2 illustrates the mixed-mode execution of a source code interpreter and a virtual machine of the present invention.

5 Figure 3 illustrates an exemplary embodiment of adding a new class to an existing program and using it from existing code.

Figure 4 illustrates an exemplary mechanism of creating virtual machine objects from the source code interpreter as described in the present invention.

10 Figure 5 illustrates an exemplary mechanism of accessing fields of virtual machine objects from the source code interpreter as described in the present invention.

Figure 6 illustrates an exemplary mechanism of assigning fields of virtual machine objects from the source code interpreter as described in the present invention.

Figure 7 illustrates an exemplary mechanism of transferring control to the virtual machine from the source code interpreter at method boundaries.

15 Figure 8 illustrates an exemplary mechanism of transferring control to the virtual machine from the source code interpreter at arbitrary locations.

Figure 9 illustrates transferring control to the source code interpreter from the virtual machine.

20 Figure 10 illustrates an exemplary embodiment of the various interactions between a source code interpreter and a virtual machine.

DETAILED DESCRIPTION OF THE INVENTION

A method and an apparatus for using mixed-mode execution for object-oriented programming languages are disclosed. More specifically, the presently preferred embodiment of the present invention discusses ways in which a source code interpreter
5 and a virtual machine executing byte code can be used together.

Figure 1 describes the working of the virtual machine. The compiler 102 produces byte code 104 from source code 102. The virtual machine 106 consists of the byte code instruction processor (BCIP) 108, the memory 110 and the other modules 112. The BCIP 108 is where each individual byte-code instruction 104 is processed and actually
10 executed. The execution of these instructions 104 can cause changes to the memory 110 and/or cause other modules 112 such as the thread scheduler or garbage collector to perform tasks. The memory 110 is where all the state information of the virtual machine 106 and the user program is stored. This memory 110 is accessed/updated by the BCIP 108 as well as by other modules 112 such as the garbage collector. The other modules
15 112 are necessary for correct functioning of the virtual machine. These typically include implementation for system level functions and may include garbage collection, thread scheduling, etc.

The virtual machine 106 commences execution at some specified instruction in the byte code 104 and continues executing until it reaches the end of the instruction
20 sequence. Execution of instructions can cause information to be stored and retrieved from memory 110, as well as cause the execution of the other modules 112 of the virtual machine 106.

Figure 2 illustrates the mixed-mode execution where the virtual machine and the source code interpreter operate together. Figure 2 is identical to Figure 1 except in that there is one additional module, the source code interpreter containing a single component - the source code instruction processor (SCIP) 114. This is similar to the BCIP 108, except that the SCIP 114 executes each individual source code 100 statement directly. The execution of these instructions can also cause changes to memory 110 (as in the case of the BCIP 108). The SCIP 114 uses the memory 110 in the virtual machine 106 since the information in memory 110 needs to be shared between the two modes of execution.

Execution in the mixed mode environment proceeds as in the case of the virtual machine 106 in isolation. However, in this case, the BCIP 108 may from time to time decide to pass control to the SCIP 114 (for example, when it realizes that profiling information needs to be gathered for a particular portion of code). Similarly, when the SCIP 114 is executing, it may decide to transfer control back to the BCIP 108 (for example, code that was being profiled has been executed and now execution can proceed at full speed).

The interaction between the SCIP 114 and the virtual machine 106 can be categorized in three primary ways. One interaction 116 is access/update of memory 110 in the virtual machine 106 by the SCIP 114. The second interaction 118 is transferring control from the SCIP 114 to the virtual machine 106. The third interaction 120 is transferring control from the virtual machine 106 to the SCIP 114.

The presently preferred embodiment of the present invention includes schemes to handle each of these interactions.

In an interpreted environment for object-oriented languages, it is possible to add new *classes* to a running program — essentially growing the program dynamically. A class is an encapsulation that defines *variables* and *methods*. Variables are memory locations where values are stored, and methods are pieces of program text that can be executed. Classes are typically used by creating instantiations of themselves called *objects* — instantiations are performed through special class members called *constructors*.

When new classes are added to a running system, they can be compiled to invoke methods of classes that already exist in the program. They can also be defined as *subclasses* of existing classes. A subclass further defines a class (the *superclass*) possibly by adding more variables and methods. Objects of the subclass are also objects of the superclass. By designing the program properly, it is possible to add new classes to the running program as subclasses of existing classes, and then invocations of methods of these existing classes end up invoking methods in the newly added subclass (since the subclass methods are specializations of the superclass methods, they override the superclass methods).

This strategy allows the addition of new classes to the system allowing interactions between existing code and the newly added code in *both* directions.

The following example in Java illustrates this strategy with the help of Figure 3.

Suppose a program contains the following class C1:

```
class C1 {  
    . . .  
}
```

Now suppose a new class C2 is added to the program:

```

class C2 {
    static void f() { . . . }
}

```

5 Code in class C2 208 can clearly make calls to code in C1 200 (given that C2 208 can be compiled with respect to C1 200). The more interesting case is where code in C1 200 makes calls to methods, or refers to variables in C2 208. This case is interesting because C1 200 has been compiled before C2 208 and therefore has no way of knowing how to refer to C2 208. To achieve the ability for C1 200 to refer to C2 208), the program is compiled with a special class Bridge 204:

```

abstract class Bridge {
    abstract void call();
}

```

15 Whenever a new class (such as C2 208) is added to the program, a corresponding set of subclasses of Bridge 204 are also added to the program. These subclasses are created on the fly by inspecting the new class and inserting code into them to refer to the new class. When C2 208 is added to the program, the following subclass of Bridge (C2Bridge 212) is also added to the program:

```

20       class C2Bridge extends Bridge {
            void call() {
                C2.f();
            }
          }

```

25 Now C1 200 can call the method f 210 in C2 208 — all it has to know is the name of the newly added class. The following code in C1 200, which is independent of the actual new class achieves the ability to call C2 . f 210:

```

30       class C1 {
            . . .
            static void callBridge(String newclass) {
                Bridge obj = (Bridge) (Class.forName(newclass +
                "Bridge").newInstance());
                obj.call();
35           }

```

} . . .

Essentially, existing code simply has to call `C1.callBridge 202 ("C2")` to invoke
5 the newly added method `C2.f 210`.

This demonstrates the ability to add new classes to a running system and allow
method invocation in both directions.

Figure 3 illustrates the call from `C1.callBridge 202` to `C2Bridge.call 214`
as going through `Bridge.call 206`. The call goes from `C1 200` to `Bridge 204` as a
10 normal method call. Since `call 206` is overridden in `C2Bridge 212`, the method `214` in
`C2Bridge 212` ends up being called. The call of `C2.f 210` from `C2Bridge.call 214` is
possible because `C2Bridge 212` is compiled after `C2 208` is available.

In the embodiments of the innovations described later, this general scheme is used
after customizing in some way. Part or all of the source code interpreter is written in the
15 same language being interpreted — therefore this part of the source code interpreter is
actually executed by the virtual machine. Hence the virtual machine is executing (some
portion of) the source code interpreter as well as the byte code of the user's application.
The source code interpreter is the first piece of code to exist and the user application code
is added later. Hence, the source code interpreter corresponds closely to `C1 200` in the
20 above example, while the user application code corresponds to `C2 208`.

While the source code interpreter cannot directly access the byte code of the user
application (and therefore has to resort to the strategy described above), it does have
access to the source code of the user application as input data. Therefore it has all
relevant information regarding the user application to facilitate the operations described

later. The specific information needed is knowledge of all fields, methods, and constructors present in each class and their types, parameter profiles, etc.

To illustrate the details of the innovations described later, the following example in Java containing 2 constructors, 2 fields, and 2 methods is used throughout the

5 remainder of this document:

```
class MyClass {  
    MyClass() {  
        . . .  
10    }  
    MyClass(int x) {  
        . . .  
15    }  
    int i;  
    char c;  
20    void f(int x) {  
        . . .  
    }  
    char g() {  
25    . . .  
    }  
}
```

30 Details of this example have been left out since they are not necessary. The source code interpreter assigns an index to each of these entries for bookkeeping purposes. In the example used here, the following indices may be used

Constructor MyClass ()	1
Constructor MyClass(int x)	2
field i	3
field c	4
method f(int x)	5
method g()	6

The method of bookkeeping is not important to the innovations described.

Indexing using numbers has been selected as one possible scheme for bookkeeping. Any other scheme for bookkeeping can be used. By using numbers, the “bridge” code
5 appearing later is able to use `switch` statements on these numbers — but if some other bookkeeping scheme is used, the `switch` statement strategy may have to be changed. It could change to a symbol table lookup, or it could even be done by having a separate bridge for each constructor, field, and method.

Accessing Virtual Machine Memory from the Source Code Interpreter

10 Object-oriented programs utilize two different kinds of memory:

Memory where objects (and their *fields*) are stored: This kind of memory contributes to the state of the system and persists across method calls. Typically, this kind of memory is stored in the *heap*.

Local variables of methods: This kind of memory is used as temporary storage
15 during the execution of a method. As soon as the method execution completes, this memory is no longer used. Typically, this kind of memory is stored in the *stack*.

For the purpose of this section, only memory where objects are stored is considered. This is because local variable memory is so transient that other more specialized schemes can be used during transfer of control between the source code
20 interpreter and the virtual machine. Issues related to local variable memory are therefore discussed later. In fact, memory 110 in Figure 1 and Figure 2 can be considered to refer to object storage memory only.

There are 3 operations performed on memory — *object creation*, *field access*, and *field assignment*.

Object creation

When the source code interpreter needs to create a new object, it first loads the
5 corresponding bridge object and invokes a method in the bridge object that in turn creates
the new object. The strategy to do this follows the general strategy mentioned earlier. The
example below in Java, together with Figure 4, illustrates how this is done for the
MyClass 412 constructors. Class Bridge 404 is extended to have a method
callConstructor 406:

```
10  abstract class Bridge {  
    abstract Object callConstructor(int index) throws Throwable;  
}
```

The bridge class for MyClass 408 overrides callConstructor 406 as follows:

```
15  public static class MyClassBridge extends Bridge {  
    public final Object callConstructor(int index) throws Throwable {  
        switch (index) {  
            case 1:  
20              return new MyClass();  
            case 2:  
                return new MyClass(getIntRegister());  
        }  
25    }  
}
```

The important points to note about callConstructor 410 are that it returns the
newly created object, that it takes as parameter the index of the constructor to invoke, and
that it is declared to throw any possible exception (not relevant if the language does not
30 support exceptions). Given that source code interpreter (SCI) 400 has knowledge of the
constructors being called, it knows exactly what exceptions may be thrown and must
handle them appropriately. The code getIntRegister() is a fragment of code that

retrieves the correct integer value from SCI 400's registers, or stack, or any other scheme it uses for storage. The word "register" is used from now onwards to denote whatever scheme SCI 400 uses for this purpose.

SCI can now create objects of class MyClass 412 as follows:

```
5   class SCI {  
      . . .  
      static Object createObject(String classname, int index) throws Throwable  
      {  
10         Bridge br = getBridgeObject(classname);  
           return br.callConstructor(index);  
      }  
      . . .  
  }
```

15 If the second constructor is being called (*i.e.*, index is 2), then SCI 400 sets its registers with the integer parameter required by the constructor before it calls createObject 402. The code getBridgeObject(classname) obtains the bridge object corresponding to classname. There are many ways in which this can be done, and in fact, it is not necessary to get a new bridge object each time, rather a previously
20 created bridge object can be reused. To create a bridge object before the first use, the Java code to be used looks like:

```
(Bridge)(Class.forName(classname + "Bridge").newInstance())
```

Since both the source code interpreter and the virtual machine use the same object
25 space, there are no issues related to inheritance, garbage collection, threads, reflection, serialization, *etc.* — essentially any issues related to having to maintain consistency between objects manipulated by the source code interpreter and the virtual machine are alleviated.

Field Access

When the source code interpreter needs to access the field of a virtual machine object, it first loads the corresponding bridge object and invokes a method in the bridge object which in turn accesses the field of the virtual machine object. The virtual machine object is assumed to have been created earlier as described previously. The example below in Java, along with Figure 5, illustrates how this is done for the MyClass fields. Class Bridge 404 is extended to have a method getField 504:

```
10  abstract class Bridge {  
    abstract void getField(Object obj, int index);  
}
```

The bridge class for MyClass 408 overrides getField 504 as follows:

```
15  public static class MyClassBridge extends Bridge {  
    public void getField(Object obj, int index) {  
        switch (index) {  
            case 3:  
                setIntRegister(((MyClass)obj).i);  
                break;  
            case 4:  
                setCharRegister(((MyClass)obj).c);  
                break;  
        }  
    }  
25  }
```

The important points to note about getField 506 are that it takes as parameter the object whose field needs to be accessed and the index of the field to access, and that the code setIntRegister(...) and setCharRegister(...) are fragments of code that assigns their argument to the corresponding interpreter register.

SCI 400 accesses fields of virtual machine objects by calling getField 504 on the object and then accessing the register into which the field value has been placed:

```
35  class SCI {  
    . . .
```

```

static Object accessField(String classname, Object obj, int index) {
    Bridge br = getBridgeObject(classname);
    br.getField(obj, index);
    // At this point, the register contains the field value.
5      }
    . . .
}

```

Field Assignment

10 Field assignment is performed in a manner quite similar to field access. SCI 400 first sets the appropriate register with the value to be assigned and then calls the bridge object to perform the assignment. The example below in Java, along with Figure 6, illustrates how this is done for the MyClass 412 fields. Class Bridge 404 is extended to have a method setField 604:

```

15  abstract class Bridge {
    abstract void setField(Object obj, int index);
}

```

The bridge class for MyClass 408 overrides setField 604 as follows:

```

20  public static class MyClassBridge extends Bridge {
    public void setField(Object obj, int index) {
        switch (index) {
            case 3:
25      ((MyClass)obj).i = getIntRegister();
                break;
            case 4:
                ((MyClass)obj).c = getCharRegister();
30      break;
        }
    }
}

```

35 SCI 400 assigns fields of virtual machine objects by saving the value to be assigned into the appropriate register and then calling setField 604 on the object:

```

class SCI {
    . . .
    static Object assignField(String classname, Object obj, int index) {
40      // At this point, the register contains the value to be assigned.
        Bridge br = getBridgeObject(classname);
        br.setField(obj, index);
    }
    . . .
45  }

```

Transferring Control from the Source Code Interpreter to the Virtual Machine

While the source code interpreter is executing the source code of the user application, there may be points at which it may decide to transfer control to the virtual machine to continue execution. The more straightforward case is when the transfer of control takes place at method boundaries. That is, when the source code interpreter is about to interpret a method call, it makes a decision to transfer control to the virtual machine to execute the method. Then the virtual machine executes the method and transfers control back to the source code interpreter at the end of the method. Given that all memory (other than local variables) is stored by the virtual machine as described earlier, and given that there are no local variables that need to be shared between the source code interpreter and virtual machine, the scheme to transfer control to the virtual machine is quite similar to the earlier schemes already described. However, when the source code interpreter needs to transfer control to the virtual machine after partially executing a method so that the virtual machine can complete the execution of this method, the process is a bit more involved since local variables need to be transferred from the source code interpreter to the virtual machine.

The first scheme described shows how transfer of control can be achieved at method boundaries. When the source code interpreter has reached a method call and decides to transfer control to the virtual machine to execute the method, it first loads the corresponding bridge object and invokes a method in the bridge object which in turn calls the method to be executed. The strategy to do this follows the general strategy mentioned earlier. The example below in Java, together with Figure 7, illustrates how this is done for

the MyClass 412 methods. Class Bridge 404 is extended to have a method
callMethod 704:

```
5      abstract class Bridge {  
        abstract void callMethod(Object obj, int index) throws Throwable;  
      }
```

The bridge class for MyClass 408 overrides callMethod 704 as follows:

```
10      public static class MyClassBridge extends Bridge {  
        public void callMethod(Object obj, int index) throws Throwable {  
          switch (index) {  
            case 5:  
              ((MyClass)obj).f(getIntRegister());  
              break;  
15            case 6:  
              setCharRegister(((MyClass)obj).g());  
              break;  
          }  
20      }  
    }
```

The important points to note about callMethod 706 are:

25 It takes as parameter the object whose method is to be invoked and the index of the
method to invoke.

It is declared to throw any possible exception (not relevant if the language does not
support exceptions). Given SCI 400's knowledge of the methods being called, it knows
exactly what exceptions may be thrown and must handle them appropriately.

30 The parameters required for the method call are saved into registers by SCI 400
before it calls callMethod 704.

The return value of the method (if any) are saved into registers by callMethod
706. SCI 400 can then access this value.

SCI 400 can now call methods of class MyClass 412 as follows:

```

class SCI {
    . . .
    static Object invokeMethod(String classname, Object obj, int index)
        throws Throwable
5      {
        Bridge br = getBridgeObject(classname);
        return br.callMethod(obj, index);
    }
    . . .
10  }

```

Transferring control after partially executing a method is now described. This requires:

A special compilation of the source code of the user application into byte code.

15 This is achieved by replacing the compiler 102 in Figure 1 and Figure 2 by a compiler that can perform this special compilation.

Predetermination of all the points in the source code where control may be transferred from the source code interpreter to the virtual machine. This predetermination must be made before the special compilation is performed.

20 The special compilation of the source code of the user application creates new methods in the byte code that is passed all the parameters of the original method as well as all the local variables. There is a new method corresponding to each predetermined point where control can be transferred and the method's behavior is to simply execute from this point (alternatively, a single method with an extra parameter to control its

25 behavior will also work).

This is illustrated in Figure 8 by defining a sample implementation of the method f 708 in the Java example above as follows:

```

class MyClass {
30    void f(int x) {
        int j = 1;
        while (j < x) {
            j = j + x;

```

```

    }
    i = j - x;
}
5      }

```

Suppose it is predetermined that SCI 400 may transfer control to the virtual machine after executing the loop (just before the final statement `i = j - x;`) of the method. Then the special compilation of MyClass 412 generates byte code equivalent to:

```

10      class MyClass {
        void f(int x) {
            int j = 1;
            while (j < x) {
15                j = j + x;
            }
            i = j - x;
        }
        void f_1(int x, int j) {
20            i = j - x;
        }
    }
25

```

If SCI 400 decides to transfer control to the virtual machine after executing the loop in `f` 708, it simply calls the new method `f_1` 802 and passes it the current value of the parameter `x`, as well as the current values of all the local variables (only `j` in this case). The actual calling scheme is otherwise identical to the previous case (where control was transferred at method boundaries). The new method `f_1` 802 requires an index — suppose it is assigned 51 — and the bridge class for MyClass 408 needs to be extended to call this method:

```

public static class MyClassBridge extends Bridge {
35      public void callMethod(Object obj, int index) throws Throwable {
          switch (index) {
              case 5:
                  ((MyClass)obj).f(getIntRegister());
                  break;
40              case 51:
                  ((MyClass)obj).f_1(getIntRegister(), getSecondIntRegister());
                  break;
              case 6:
                  setCharRegister(((MyClass)obj).g());
45                  break;
          }
      }

```



```

    }
}

```

5 Transferring Control from the Virtual Machine to the Source Code Interpreter

The scheme to transfer control from the virtual machine to the source code interpreter does not follow the general strategy mentioned above. This is because this scheme is achieved by applying a special compiler on the user application that causes the transfer of control at predetermined locations in the program. Since this compilation takes place after the source code interpreter code is available, the compiler can generate byte code that directly refers to the source code interpreter code without requiring a bridge. For this scheme too, it is necessary to predetermine the points at which control may transfer from the virtual machine to the source code interpreter. Consider the same example used earlier with the possibility of control being transferred from the virtual machine to the source code interpreter at the beginning of the method as well as at the end of the loop, just before the final statement (`i = j - x;`) of the method:

```

class MyClass {
    void f(int x) {
20      int j = 1;
        while (j < x) {
            j = j + x;
        }
25      i = j - x;
    }
}

```

This example is translated by the special compiler as follows:

```

30  class MyClass {
        void f(int x) {
            if (transferControlToSCI()) {
                executesSCIInstr(firstf, x) and
35      catchAnyThrownExceptionAndCastBeforeRethrowing();
            return;
        }
    }
}

```

```

        int j = 1;
        while (j < x) {
            j = j + x;
        }
5       if (transferControlToSCI()) {
            executeSCIInstr(laststmf, x, j) and
            catchAnyThrownExceptionAndCastBeforeRethrowing();
            return;
        }
10      i = j - x;
    }
}

```

15 The important points to note about this translated version are:

The check transferControlToSCI() may be any check to determine whether or not control should be transferred to the source code interpreter at this point.

The statement executeSCIInstr(firstf, x) calls the source code interpreter with the instruction corresponding to the first instruction of method f as a parameter (so that interpretation can continue from this location). The parameter x is also passed to the source code interpreter.

The statement executeSCIInstr(laststmf, x, j) does a similar action to that described in the previous point. It calls the source code interpreter with the instruction corresponding to the statement (i = j - x) and passes the parameter x and the local variable j.

The statement catchAnyThrownExceptionAndCastBeforeRethrowing() is a catch-all exception handler to catch any exceptions generated as a result of calling the source code interpreter. If the source code interpreter determines that the method it is interpreting needs to throw an exception out of the method, the exception is thrown as a real exception that can then be passed on to the virtual machine. This statement then casts the exception to the actual exception generated and re-throws it to cause the proper

behavior in the virtual machine execution. This statement is only relevant if the language supports exceptions.

Figure 9 illustrates the scheme for transferring control to SCI 400 from the virtual machine.

5 The bits and pieces of the example presented earlier are now combined into a complete system below, as illustrated in Figure 10. The complete Bridge class 404 follows:

```
10       abstract class Bridge {
            abstract Object callConstructor(int index) throws Throwable;
            abstract void getField(Object obj, int index);
            abstract void setField(Object obj, int index);
            abstract void callMethod(Object obj, int index) throws Throwable;
          }
```

15 The complete MyClassBridge class 408 follows:

```
      public static class MyClassBridge extends Bridge {
          public final Object callConstructor(int index) throws Throwable {
              switch (index) {
20            case 1:
                  return new MyClass();
              case 2:
                  return new MyClass(getIntRegister());
              }
25        }

          public void getField(Object obj, int index) {
              switch (index) {
30            case 3:
                  setIntRegister(((MyClass)obj).i);
                  break;
              case 4:
                  setCharRegister(((MyClass)obj).c);
                  break;
35            }
          }

          public void setField(Object obj, int index) {
              switch (index) {
40            case 3:
                  ((MyClass)obj).i = getIntRegister();
                  break;
              case 4:
                  ((MyClass)obj).c = getCharRegister();
                  break;
45            }
          }

          public void callMethod(Object obj, int index) throws Throwable {
50            switch (index) {
```

```

    case 5:
        ((MyClass)obj).f(getIntRegister());
        break;
    case 51:
5         ((MyClass)obj).f_1(getIntRegister(), getSecondIntRegister());
        break;
    case 6:
        setCharRegister(((MyClass)obj).g());
10         break;
    }
}

```

15 The compiled version of MyClass 412 is equivalent to the Java source shown below:

```

class MyClass {
    MyClass() {
20         . . .
    }

    MyClass(int x) {
        . . .
    }

25     int i;

    char c;

30     void f(int x) {
        if (transferControlToSCI()) {
            executeSCIInstr(firstf, x) and
            catchAnyThrownExceptionAndCastBeforeRethrowing();
            return;
35         }
        int j = 1;
        while (j < x) {
            j = j + x;
        }
40         if (transferControlToSCI()) {
            executeSCIInstr(laststmf, x, j) and
            catchAnyThrownExceptionAndCastBeforeRethrowing();
            return;
        }
45         i = j - x;
    }

    void f_1(int x, int j) {
50         i = j - x;
    }

    char g() {
        . . .
    }
55 }

```

While the exemplary embodiments of the innovations described consider mixed-mode execution between a source code interpreter and a virtual machine, all the innovations described will work in any situation involving mixed-mode execution of

object-oriented programs, so long as the “higher level” mode of execution (e.g. the source code interpreter) has some components that are being executed by the “lower level” mode of execution (e.g. the virtual machine), and it is possible to add new classes to a running program at the lower level mode of execution. Another exemplary embodiment of the

- 5 invention is a C++ environment where C++ programs are executed natively and also interpreted using a source code interpreter written in C++.

CLAIMS

What is claimed is:

1 1. A method for mixed-mode execution, comprising of a higher-level mode
2 of execution and a lower-level mode of execution, in object-oriented programs, where
3 said higher-level mode of execution has components that are executed by said lower-level
4 mode of execution and where it is possible to add new objects to a running program at
5 said lower-level mode of execution, said method comprising of techniques for:
6 accessing/updating memory located in said lower-level mode of execution
7 by code in said higher-level mode of execution;
8 transferring control from said lower-level mode of execution to said
9 higher-level mode of execution; and
10 transferring control from said higher-level mode of execution to said
11 lower-level mode of execution.

1 2. A method according to claim 1 wherein said new objects are
2 specializations of existing objects with the ability to interact with said higher-level mode
3 of execution through bridge methods in said lower-level mode of execution.

1 3. A method according to claim 1 wherein said bridge methods have the
2 ability to access or modify said memory.

1 4. A method according to claim 1 wherein said bridge methods call methods
2 in said higher-level mode of execution.

1 5. A method according to claim 1 wherein said bridge methods call
2 constructors in said higher-level mode of execution.

1 6. A method according to claim 1 wherein compilation of said lower-level
2 mode of execution allows said lower-level mode of execution to invoke said bridge
3 methods at predetermined locations.

1 7. A method according to claim 1 wherein control is transferred from said
2 higher-level mode of execution to said lower-level mode of execution at method
3 boundaries.

1 8. A method according to claim 1 wherein control is transferred from said
2 higher-level mode of execution to said lower-level mode of execution within said
3 methods.

1 9. A method according to claim 8 to transfer local state of said methods
2 during said transfer of control from said higher-level mode of execution to said lower-
3 level mode of execution within said methods.

ABSTRACT OF THE INVENTION

A method for mixed-mode execution in object-oriented programs is disclosed whereby certain portions of source code can be executed by a higher-level mode of execution having access to the program at its highest level of abstraction, while other portions can be executed by a lower-level mode of execution. The invention described can be applied to any object-oriented environment where the higher-level mode of execution has components that are executed by the lower-level mode of execution and where new objects can be added to a running program at the lower-level mode of execution. In a presently preferred embodiment of the present invention, a source code interpreter operates directly on portions of Java source code where detailed information about the program is required (such as debugging information, profiling information or coverage information) while a virtual machine executes compiled byte code at all other times. Interactions between the source code interpreter and the virtual machine are also described in accessing/updating of memory in the virtual machine by the source code interpreter, and transfer of control between the source code interpreter and the virtual machine.

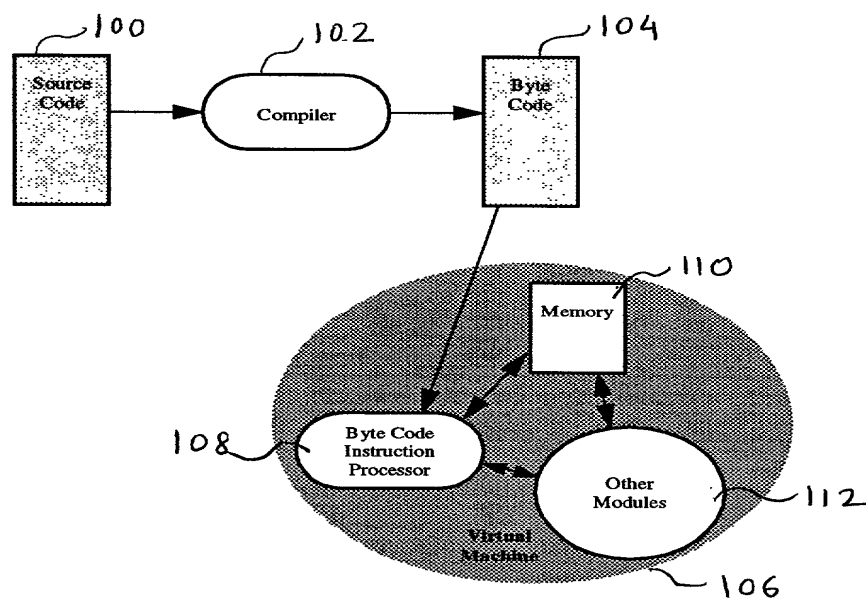


Figure 1.

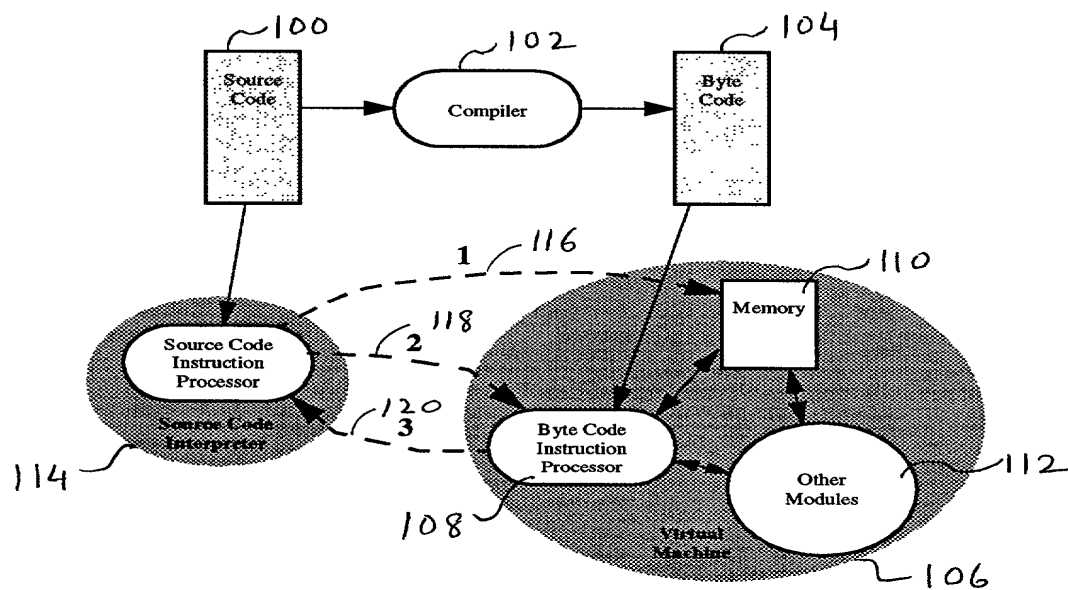


Figure 2.

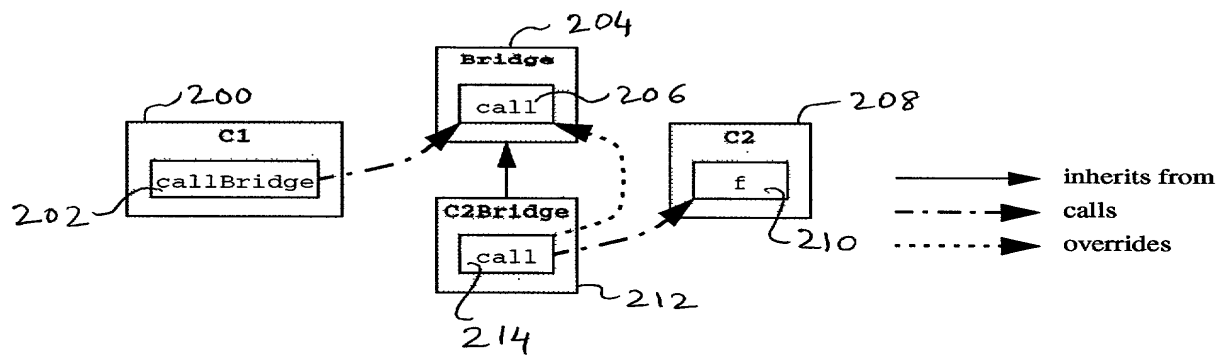


Figure 3.

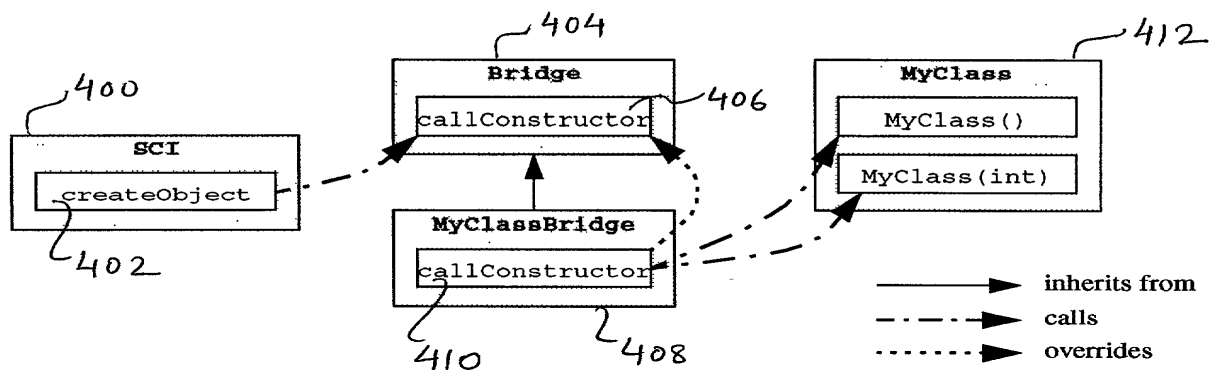


Figure 4.

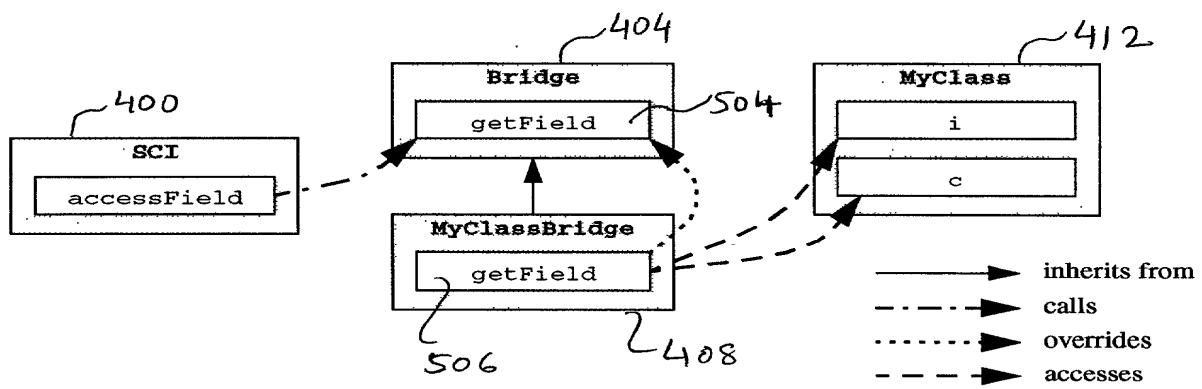


Figure 5.

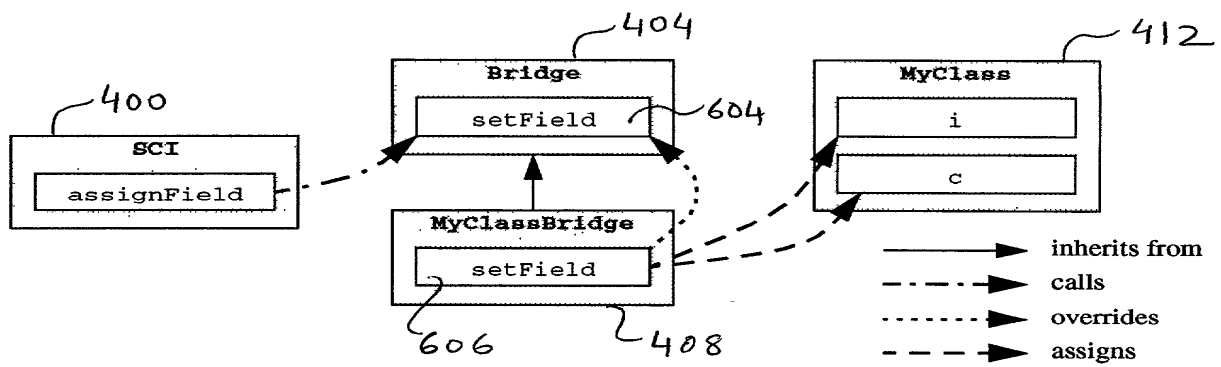


Figure 6.

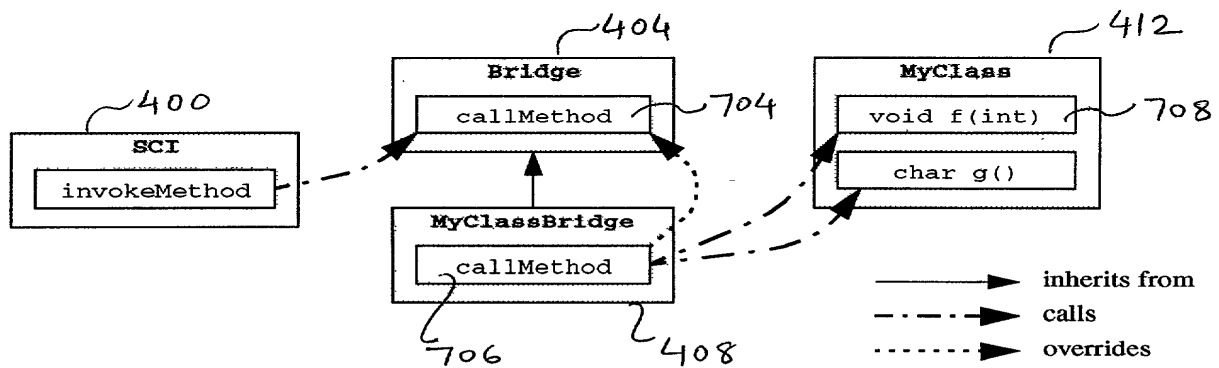


Figure 7.

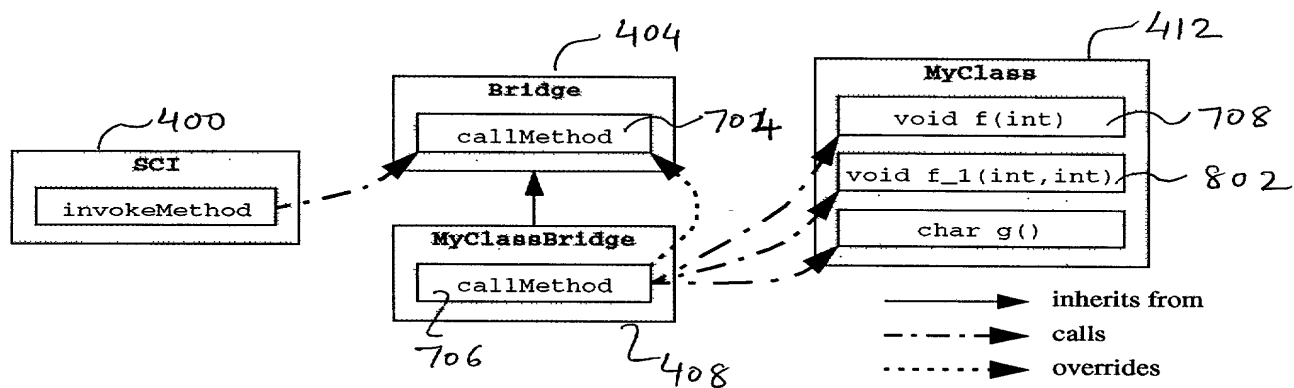


Figure 8.

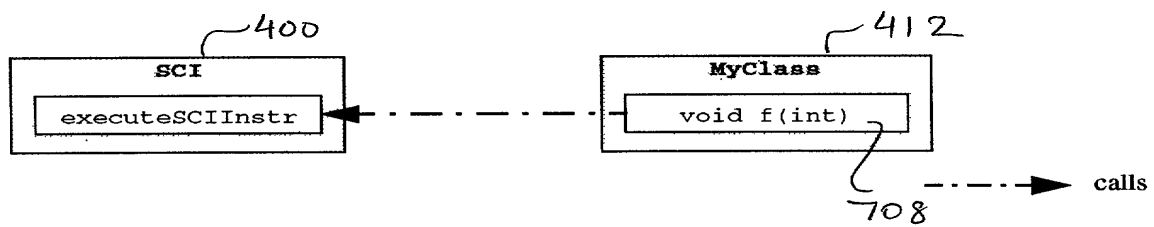


Figure 9.

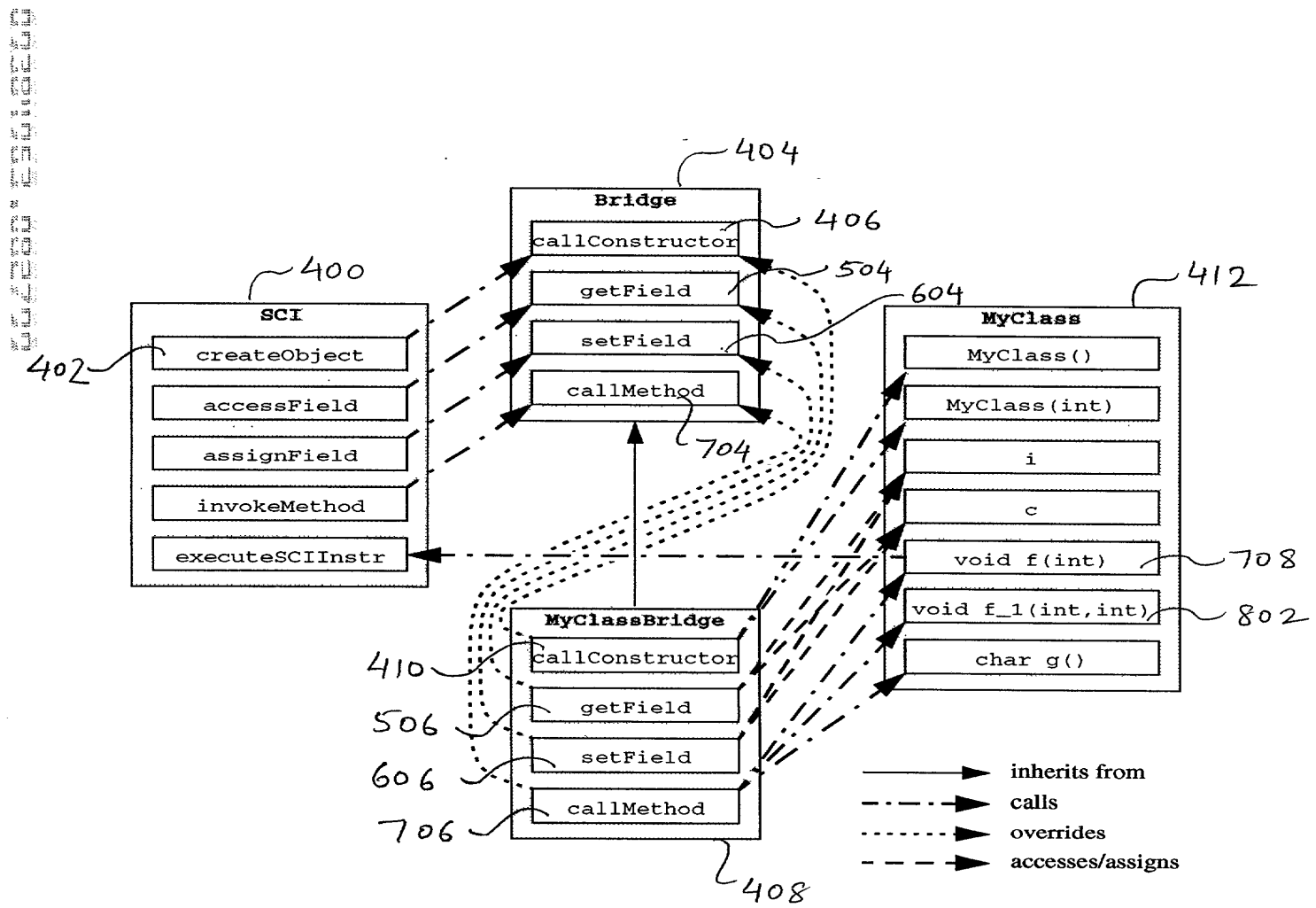


Figure 10.

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	First Named Inventor	SKIRAM SANKAR
	COMPLETE IF KNOWN	
	Application Number	/
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	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MIXED MODE EXECUTION FOR OBJECT-ORIENTED PROGRAMMING LANGUAGES

the specification of which (Title of the Invention)
☒ is attached hereto
OR
☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

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U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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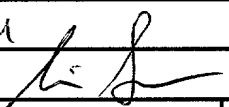
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Name	SRIRAM SANKAR				
Address	34367 TUPELO STREET				
Address					
City	FREMONT	State	CA	ZIP	94555
Country	USA	Telephone	(510) 796-0915	Fax	(510) 796-0916

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Given Name (first and middle [if any])		Family Name or Surname			
SRIRAM		SANKAR			
Inventor's Signature				Date	8/27/99
Residence: City	FREMONT	State	CA	Country	USA
				Citizenship	INDIA
Post Office Address	34367 TUPELO STREET				
Post Office Address					
City	FREMONT	State	CA	ZIP	94555
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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

SREENIVASA

VISWANADHA

Inventor's
Signature

V. Sreenivasan

Date

8/27/99

Residence: City

FREMONT

State

CA

Country

USA

Citizenship

INDIA

Post Office Address

889 MOWRY AVENUE, #170

Post Office Address

City

FREMONT

State

CA

ZIP

94536

Country

USA

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

JOSE H

SOLOZANO

Inventor's
Signature

Jose H. Solozano

Date

8/27/99

Residence: City

FREMONT

State

CA

Country

USA

Citizenship

Post Office Address

405 RANCHO ARROYO PARKWAY #289

Post Office Address

City

FREMONT

State

CA

ZIP

94536

Country

USA

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

ROBERT J

DUNCAN

Inventor's
Signature

Robert J. Duncan

Date

8/27/99

Residence: City

SAN FRANCISCO

State

CA

Country

USA

Citizenship

UK

Post Office Address

3274 20th STREET

Post Office Address

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Given Name (first and middle [if any])				Family Name or Surname			
Darius J				Bacon			
Inventor's Signature	Darius Bacon			Date	8/27/99		
Residence: City	Fremont	State	CA	Country	USA	Citizenship	USA
Post Office Address	38730 Lexington St. #164						
Post Office Address							
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